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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
10/677,850	10/02/2003	Jeffrey Raynor	03EDI22652634	5132

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ALLEN, DYER, DOPPELT, MILBRATH & GILCHRIST P.A.  
1401 CITRUS CENTER 255 SOUTH ORANGE AVENUE  
P.O. BOX 3791  
ORLANDO, FL 32802-3791

EXAMINER

SINES, BRIAN J

ART UNIT PAPER NUMBER

1743

DATE MAILED: 10/04/2006

Please find below and/or attached an Office communication concerning this application or proceeding.

**Office Action Summary**

Application No.

10/677,850

Applicant(s)

RAYNOR ET AL.

Examiner

Brian J. Sines

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-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --

**Period for Reply**

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) OR THIRTY (30) DAYS, WHICHEVER IS LONGER, FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

**Status**

- 1) ☒ Responsive to communication(s) filed on 7/14/2006.
- 2a) ☐ This action is **FINAL**. 2b) ☒ This action is non-final.
- 3) ☐ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

**Disposition of Claims**

- 4) ☒ Claim(s) 39-41, 43-58 and 60-65 is/are pending in the application.
- 4a) Of the above claim(s) \_\_\_\_\_ is/are withdrawn from consideration.
- 5) ☐ Claim(s) \_\_\_\_\_ is/are allowed.
- 6) ☒ Claim(s) 39-41, 43-58 and 60-65 is/are rejected.
- 7) ☐ Claim(s) \_\_\_\_\_ is/are objected to.
- 8) ☐ Claim(s) \_\_\_\_\_ are subject to restriction and/or election requirement.

**Application Papers**

- 9) ☐ The specification is objected to by the Examiner.
- 10) ☐ The drawing(s) filed on \_\_\_\_\_ is/are: a) ☐ accepted or b) ☐ objected to by the Examiner.  
Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).  
Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).
- 11) ☐ The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.

**Priority under 35 U.S.C. § 119**

- 12) ☐ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
- a) ☐ All b) ☐ Some \* c) ☐ None of:
1. ☐ Certified copies of the priority documents have been received.
  2. ☐ Certified copies of the priority documents have been received in Application No. \_\_\_\_\_.
  3. ☐ Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).

\* See the attached detailed Office action for a list of the certified copies not received.

**Attachment(s)**

- 1) ☒ Notice of References Cited (PTO-892)
- 2) ☐ Notice of Draftsperson's Patent Drawing Review (PTO-948)
- 3) ☐ Information Disclosure Statement(s) (PTO/SB/08)  
Paper No(s)/Mail Date \_\_\_\_\_
- 4) ☐ Interview Summary (PTO-413)  
Paper No(s)/Mail Date. \_\_\_\_\_
- 5) ☐ Notice of Informal Patent Application
- 6) ☐ Other: \_\_\_\_\_

## DETAILED ACTION

### *Claim Rejections - 35 USC § 103*

The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

(a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negated by the manner in which the invention was made.

The factual inquiries set forth in *Graham v. John Deere Co.*, 383 U.S. 1, 148 USPQ 459

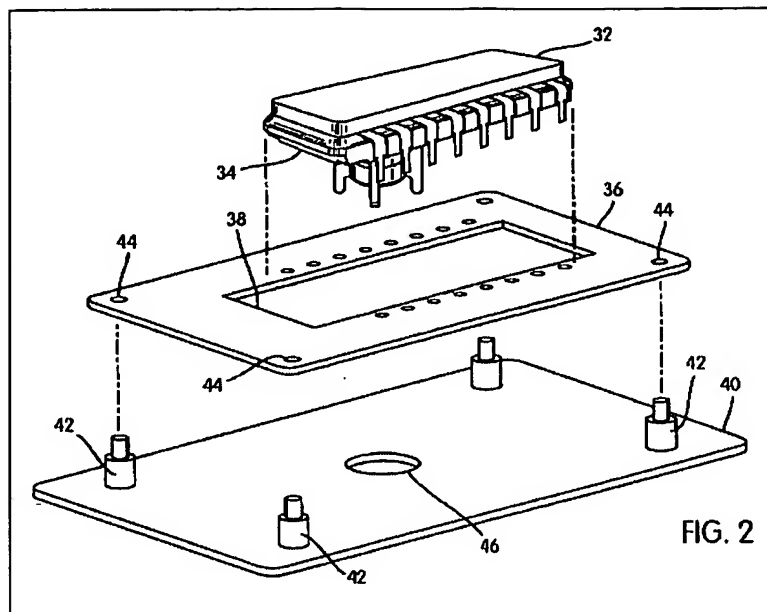
(1966), that are applied for establishing a background for determining obviousness under 35

U.S.C. 103(a) are summarized as follows:

1. Determining the scope and contents of the prior art.
2. Ascertaining the differences between the prior art and the claims at issue.
3. Resolving the level of ordinary skill in the pertinent art.
4. Considering objective evidence present in the application indicating obviousness or nonobviousness.

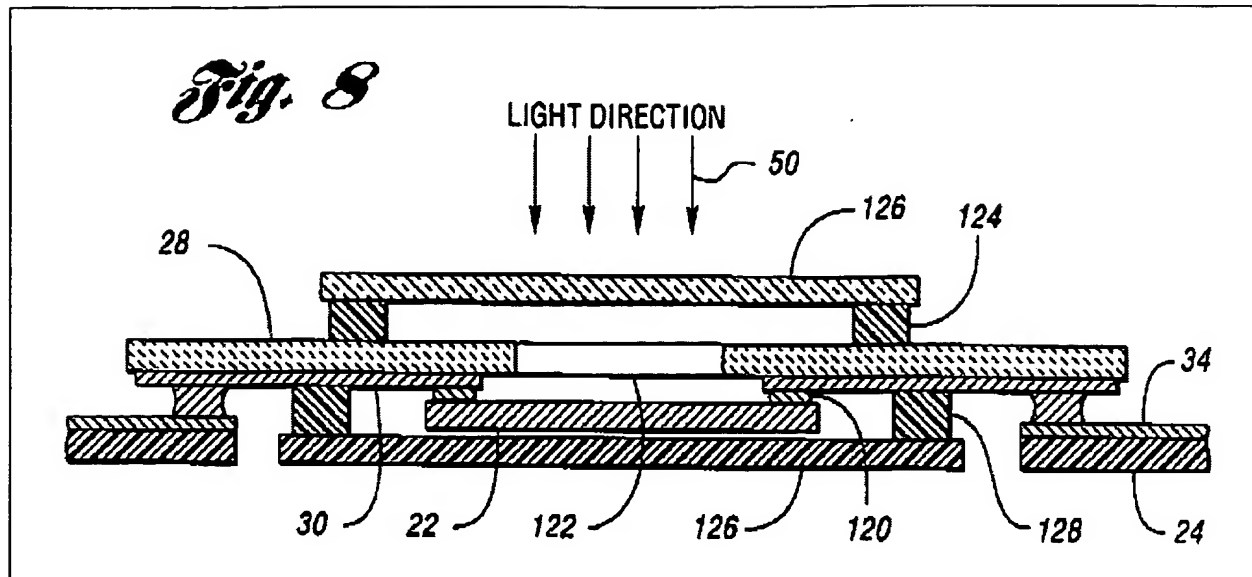
1. Claims 39 – 41, 43, 44, 46, 48 – 50, 52 – 54, 57, 58, 60, 61 and 63 – 65 are rejected under 35 U.S.C. 103(a) as being unpatentable over Venkat et al. (U.S. Pat. No. 6,462,330 B1) (hereinafter “Venkat”) in view of Bauer et al. (U.S. Pat. No. 6,130,448 A) (hereinafter “Bauer”).

Regarding claims 39 – 41, 46, 48 – 50, 52, 53, 57 and 58, Venkat anticipates a method for attaching a sensor (optical sensor IC 32 with an integrated aperture plate 34) comprising a sensing face, a sensor or image sensing area (e.g., lens 56), signal output contacts and a housing (base plate 40) comprising an extended formation (alignment posts 42) to opposite sides of a mounting substrate (printed circuit board (PCB) 36 having additional openings (alignment apertures 44)) having an opening therethrough (38). Venkat teaches that the device components are positioned and aligned during device assembly (see col. 3, lines 1 – 62; figures 2 – 9).



Venkat does not specifically teach the use of bump bonding for facilitating the attachment of the sensor to the mounting substrate.

Bauer does teach the use of bump bonding using solder bump 120 in attaching an optical sensor 22 to a mounting base substrate 28 that comprises circuitry (e.g., conductive strip 30) (see, e.g., col. 10, line 61 – col. 11, line 10; col. 12, lines 41 – 59; figure 8). As shown in figure 8, the optical sensor 22 is aligned and mounted on the substrate 28 comprising opening 122.



Hence, a person of ordinary would accordingly have had a reasonable expectation for success in using bump bonding in facilitating the attachment of the components of the disclosed sensor device. Therefore, it would have been obvious to a person of ordinary skill in the art to use bump bonding with the disclosed methodology as claimed to facilitate effective and secure alignment and attachment of the components of the device.

Regarding claims 43 and 60, it would have been obvious to a person of ordinary skill in the art to incorporate a plurality of duplicate bump bonds around the perimeter of the opening in order to provide for a secure alignment and attachment of the optical sensor and mounting substrate. The mere duplication of parts, without any new or unexpected results, is within the ambit of one of ordinary skill in the art (see MPEP § 2144.04).

Regarding claims 44 and 61, Bauer teaches that the optical sensor 22 is aligned to base substrate 28, 140 and all solder joints are made simultaneously by reflowing solder bump 120 (see col. 12, lines 40 – 51). Thus, it would have been obvious to a person of ordinary skill in the

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art to heat the bump bonds so that the sensor would become aligned and then subsequently fixed with the mounting substrate.

Regarding claim 54, the use of threaded connections are well known in the art. Therefore, it would have been obvious to a person of ordinary skill in the art to employ the use of threaded connections during the assembly of the disclosed device as claimed.

2. Claims 45 and 62 are rejected under 35 U.S.C. 103(a) as being unpatentable over Venkat and Bauer in view of Glenn (U.S. Pat. No. 5,949,655 A) (hereinafter “Glenn”).

Regarding claim 45 and 62, Venkat and Bauer do not specifically teach the incorporation of a CCD device. Glenn further teaches a sensor device comprising a charge coupled device (CCD) incorporated with an integrated circuit (see, e.g., col. 1, lines 39 – 55). A charge coupled device is considered functionally equivalent to the optical sensing device that is incorporated with the device disclosed by Venkat (see MPEP § 2144.06). The Courts have held that an express suggestion to substitute one equivalent component or process for another is not necessary to render such a substitution obvious. See *In re Fout*, 675 F.2d 297, 213 USPQ 532 (CCPA 1982). Thus, it would have been obvious to a person of ordinary skill in the art to incorporate a CCD device with the device disclosed by Venkat and Bauer.

3. Claim 47 is rejected under 35 U.S.C. 103(a) as being unpatentable over Venkat and Bauer in view of Bidiville et al. (U.S. Pat. No. 5,854,482 A) (hereinafter “Bidiville”).

Regarding claim 47, Venkat and Bauer do not specifically teach the use of a photodiode array. Bidiville does teach a device using an optical sensing system incorporating the use of a photodiode array (see col. 9, lines 9 – 34). A photodiode array is considered functionally equivalent to the optical sensing device that is incorporated with the device disclosed by Venkat

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(see MPEP § 2144.06). The Courts have held that an express suggestion to substitute one equivalent component or process for another is not necessary to render such a substitution obvious. See *In re Fout*, 675 F.2d 297, 213 USPQ 532 (CCPA 1982). Thus, it would have been obvious to a person of ordinary skill in the art to incorporate a photodiode array system with the device as claimed.

4. Claims 55 and 56 are rejected under 35 U.S.C. 103(a) as being unpatentable over Venkat and Bauer in view of Groger et al. (U.S. Pat. No. 6,300,638 B1) (hereinafter “Groger”).

Regarding claims 55 and 56, Groger teaches a biological sensing system incorporating the use of an optical sensor (e.g., photodiode/amplifier package 23) with a matter delivery system (e.g., inlet 36, outlet 38 and cavity 34) (see figures 1 and 4). The photodiode optical sensing system is considered functionally equivalent to the optical sensing device that is incorporated with the device disclosed by Venkat (see MPEP § 2144.06). The Courts have held that an express suggestion to substitute one equivalent component or process for another is not necessary to render such a substitution obvious. See *In re Fout*, 675 F.2d 297, 213 USPQ 532 (CCPA 1982). Thus, it would have been obvious to a person of ordinary skill in the art to incorporate the optical detection system with the disclosed device as claimed.

#### ***Response to Arguments***

Applicant's arguments with respect to the present claims have been considered but are moot in view of the new ground(s) of rejection.

#### ***Conclusion***

The prior art made of record and not relied upon is considered pertinent to applicant's disclosure. The additional cited prior art teach related sensor package manufacturing methods.

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Any inquiry concerning this communication or earlier communications from the examiner should be directed to Brian J. Sines whose telephone number is (571) 272-1263. The examiner can normally be reached on Monday - Friday (11 AM - 8 PM EST).

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Jill A. Warden can be reached on (571) 272-1267. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see <http://pair-direct.uspto.gov>. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free).

A handwritten signature in black ink, appearing to read "Brian J. Sines". The signature is stylized with a large, looping flourish at the end.